



MARK PESTRELLA, Director

COUNTY OF LOS ANGELES

DEPARTMENT OF PUBLIC WORKS

"To Enrich Lives Through Effective and Caring Service"

900 SOUTH FREMONT AVENUE
ALHAMBRA, CALIFORNIA 91803-1331
Telephone: (626) 458-5100
<http://dpw.lacounty.gov>

ADDRESS ALL CORRESPONDENCE TO:
P.O. BOX 1460
ALHAMBRA, CALIFORNIA 91802-1460

IN REPLY PLEASE

REFER TO FILE:

BRC-2

June 19, 2019

**ADDENDUM "B"
REQUEST FOR STATEMENT OF QUALIFICATIONS
LOS ANGELES REGIONAL INTEROPERABLE COMMUNICATIONS SYSTEM
(LA-RICS) AUTHORITY TELECOMMUNICATION FACILITY CONSTRUCTION AND
INSTALLATION PROJECT
PROJECT ID: BRC0000102**

This Addendum "B" is issued on behalf of the Los Angeles Regional Interoperable Communications System Authority (LA-RICS Authority) and clarifies information contemplated in the Request for Statement of Qualifications (RFSQ) issued May 13, 2019, which is hereby made a part thereof.

REVISIONS AND CLARIFICATIONS TO RFSQ

1. The RFSQ has been revised to reflect the possibility of construction of up to twenty-six (26) wireless telecommunication sites as opposed to the construction of up to seventeen (17) wireless communication sites as originally contemplated. Accordingly, the following sections contained in Section 1 (Introduction and Overview) have been revised to reflect this change:
 - RFSQ, Section 1.1 (General)
 - RFSQ, Section 1.2 (Project Overview)
 - RFSQ, Section 1.4 (RFSQ and IFB Schedule)
2. RFSQ, Section 5.1.3, in particular Section 1 – Essential Requirements for Prequalification, contained in Section 5.1 (Statement of Qualification (SOQ) Questionnaire) is deleted in its entirety and replaced with the following:

▪ **Section 1 – Essential Requirements for Prequalification**

All questions in this section are Pass/Fail. Bidder will be immediately disqualified if the answer to any of questions 1.1 through 1.3, including any subparts (i.e., 1.2a, 1.2b, 1.2c), 1.5, 1.10, or 1.11 is "No". Bidder will be immediately disqualified if the answer to any of questions 1.6 through 1.9 is "Yes".

3. Exhibit A (SOQ Questionnaire) to the RFSQ is deleted in its entirety and replaced with Exhibit A (SOQ Questionnaire) marked as "Amended and Restated under Addendum "B"", which is attached to this Addendum "B" and is incorporated herein by this reference.

Bidders should note that a revision was made to Section 1 (Essential Requirements for Pre-Qualification) of the Exhibit A (SOQ Questionnaire). Bidders must use and submit the revised Exhibit A (SOQ Questionnaire) attached to this Addendum "B" with its SOQ.

Additionally, the electronic version of Exhibit A (SOQ Questionnaire) will be sent with the electronic transmission of this addendum and will be posted on the Department of Public Works (Public Works) website:

<https://dpw.lacounty.gov/contracts/opportunities>

Please note, Bidders must use and submit the Exhibit A (SOQ Questionnaire) revised by this Addendum "B" with its SOQ.

The revised RFSQ, Addendum "A", Addendum "B", the PowerPoint presentation, and sign-in sheet for the Pre-Submittal Conference are posted and available for download on the Public Works website: <https://dpw.lacounty.gov/contracts/opportunities>.

Bidders are encouraged to notify its subcontractors of the information contemplated in this Addendum "B."

Except as expressly modified or clarified by this Addendum "B", all other terms and conditions of the RFSQ shall remain unchanged.

Addendum "B"
June 19, 2019
Page 3

If you have any questions regarding this Addendum "B", please contact Ms. Loydi Nguyen, Contract Administrator, at (626) 458-2180 or via e-mail at Lnguyen@dpw.lacounty.gov.

Very truly yours,

MARK PESTRELLA
Director of Public Works

A handwritten signature in black ink, appearing to read 'JQ', with a long horizontal flourish extending to the left.

JOSE QUEVEDO
Assistant Deputy Director
Business Relations and Contracts Division

JQ:ln

M:\CONSTRUCTION PRE-QUALIFICATION\Addendum\Addendum B\LA-RICS Addendum B_06-18-19.docx

Attach.

PART A

**STATEMENT OF QUALIFICATION (SOQ)
QUESTIONNAIRE**

**LOS ANGELES REGIONAL INTEROPERABLE
COMMUNICATIONS SYSTEM (LA-RICS) AUTHORITY
TELECOMMUNICATION FACILITY CONSTRUCTION AND
INSTALLATION PROJECT**

ISSUED MAY 13, 2019

**AMENDED AND RESTATED UNDER ADDENDUM "A"
JUNE 4, 2019**

**AMENDED AND RESTATED UNDER ADDENDUM "B"
JUNE 19, 2019**

**COUNTY OF LOS ANGELES
PUBLIC WORKS
900 SOUTH FREMONT AVENUE
ALHAMBRA, CA 91803**

TABLE OF CONTENTS

- SECTION 0: CONTACT INFORMATION**
- SECTION 1: ESSENTIAL REQUIREMENTS FOR PRE-QUALIFICATION**
- SECTION 2: LA-RICS AUTHORITY REQUIRED CERTIFICATIONS**
- SECTION 3: ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS, AND SAFETY RECORD**
- SECTION 4: RECENT CONSTRUCTION PROJECTS COMPLETED**
- SECTION 5: COMMITMENT TO BID**
- SECTION 6: OATH OF TRUTHFULNESS**

SECTION 0: CONTACT INFORMATION

Contractor Name: _____

- Check One: Corporation
 Partnership
 Sole Prop.
 Joint Venture
 Other

If Contractor is a sole proprietor or partnership:

Owner(s) of Company: _____

If Contractor is a Joint Venture:

NOTE: If proposing Contractor is a Joint Venture, each Joint Venture member-firm is required to fill out its own Section 1 of the Prequalification Questionnaire. Section 3 shall be completed by the Joint Venture member-firm holding the general contractor license under which the Work will be performed. Sections 2, 4, 5, and 6 shall be completed once only on behalf of the whole Joint Venture team.

List of Joint Venture Member-Firms: _____

Name of Joint Venture Member-Firm Completing this Questionnaire:

All Contractors:

Contact Person: _____

Address: _____

Phone: _____ Fax: _____

SECTION 1: ESSENTIAL REQUIREMENTS FOR PRE-QUALIFICATION

All questions in this section are Pass/Fail.

Amended and Restated under Addendum "B": The Contractor will be immediately disqualified if the answer to any of questions 1.1 through 1.3, including any subparts (i.e., 1.2a, 1.2b, or 1.2c), 1.5, 1.10, or 1.11, is "No."

The Contractor will be immediately disqualified if the answer to any of questions 1.6 through 1.9 is "Yes."¹

The LA-RICS Authority reserves the right to ask for any additional information based on the responses provided, and will use this information, along with any other relevant information, to evaluate whether or not the Contractor is responsible to perform the proposed work.

1.1 **Amended and Restated under Addendum "A":** Contractor possesses a valid and current "A" OR "B" classification license issued by the Contractor's State License Board for the type of work proposed to be performed by Bidder pursuant to Section 1.7.1 of the RFSQ.

Yes No Contractor License No. _____

1.2 Contractor has attached a notarized statement from an insurance carrier confirming that the Contractor has the capacity to provide the following insurance policies:

1.2.a Comprehensive general liability insurance policy specific to the Proposed Project, with a policy limit of at least \$1,000,000 per occurrence, \$2,000,000 aggregate and \$2,000,000 for products/completed operation aggregate.

Yes No

1.2.b Automobile insurance policy specific to the Proposed Project with a policy limit of at least \$1,000,000 per accident.

Yes No

1.3 Contractor or Joint Venture Member-Firm has a current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.

Yes No

- 1.4 **Amended and Restated under Addendum "B":** Contractor or Joint Venture Member-Firm has attached the latest copy of its reviewed or audited financial statement with accompanying notes and supplemental information.²

Yes No

NOTE: A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only.

- 1.5 Contractor has attached a notarized statement from an admitted surety (approved by the California Department of Insurance) authorized to issue bonds in the State of California, which states: (a) that Contractor's current bonding capacity is sufficient for the project for which Contractor seeks Prequalification, and (b) the amount of Contractor's current available bonding capacity?³

Yes No

NOTE: Notarized statement from the surety company.

- 1.6 Has Contractor's license, listed in Question 1.1 above, been revoked at any time in the last five years?

Yes No

- 1.7 Has a surety firm completed a contract on behalf of the firm holding the Contractor's license, or paid for completion because the firm was default-terminated by the project owner within the last five (5) years?

Yes No

- 1.8 At the time of submitting this questionnaire, is Contractor or Joint Venture Member-Firm ineligible to bid on or be awarded a Public Works contract, or perform as a subcontractor on a Public Works contract, by any public entity/agency.

Yes No

NOTE: If you are currently debarred and the debarment period will end prior to the proposed contract award date, you may answer no to the question and indicate the current debarment beginning and end dates on a separate sheet of paper.

- 1.9 At any time during the last five years, has Contractor or Joint Venture Member-Firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

Yes No

1.10 Bidder confirms that it will comply with all the terms, conditions, and requirements of Exhibit G (LA-RICS Authority Grant Funding Requirements) as part of this prequalification process and in the event it is awarded a resultant IFB/Agreement?

Yes No

1.11 **Amended and Restated under Addendum "A"**: Within the last five (5) years, does the Bidder have experience constructing a minimum of ten (10) wireless telecommunication projects (WTP), which meet the site types and site components requirements listed below?

Yes No

a. **SITE TYPES:** Construction of at least one (1) of the following wireless telecommunication facility site types? Each project can be of the same site type **OR** a combination of site types.

- New lattice or monopole towers
- Rooftops
- Lattice tower or monopole collocation

Yes No

b. **SITE COMPONENTS:** Wireless telecommunication project listed shall include at least one (1) of the site components below. Does the Bidder have experience with ALL of the site components listed? The site components installation experience can be achieved in a single wireless telecommunication project **OR** across Bidder's list of wireless telecommunication projects, so long as Bidder has experience with **ALL three** of the site components listed. If any one of the site components is missing from the Bidder's experience, the Bidder shall be disqualified.

- Cellular-type antennas and antenna cabling
- Remote radio units (RRUs)
- DC power and fiber-optic cabling

Yes No

FOOTNOTES:

¹ A contractor disqualified solely because of a "Yes" answer given to question 1.6, 1.7, or 1.9 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

² Public Contract Code section 20101 (e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the bid is "no more than 25 percent of the qualifying amount provided in section 14837(d)(1)."

³ An additional notarized statement from the surety may be requested by the County, on behalf of the LA-RICS Authority, at the time of submission of a bid, if this prequalification package is submitted more than 60 days prior to submission of the bid.

SECTION 2: LA-RICS AUTHORITY REQUIRED CERTIFICATIONS

All questions in this Section are Pass/Fail.

The Contractor will be immediately disqualified if the answer to any of the questions in this Section is "No."

The Contractor must affirm that it has reviewed all LA-RICS Authority forms listed in this section and agrees to fill and attach all forms listed below as part of the Part B (Invitation for Bid) if invited to submit.

The following LA-RICS Authority required forms will be completed, signed, and attached to the Part B – IFB Response:

- 2.1 00 03 00 – Form of Bid to Be Used by Bidders
 Yes No
- 2.2 00 03 10 – Best Management Practices Requirements
 Yes No
- 2.3 00 03 11 – Construction and Demolition Debris Recycling Requirements
 Yes No
- 2.4 00 03 12 – Insurance Requirements
 Yes No
- 2.5 00 04 10 – Bid Bond
 Yes No
- 2.6 00 04 30 – List of Subcontractors
 Yes No
- 2.7 Reserved
- 2.8 Reserved
- 2.9 00 04 40 – Equals
 Yes No
- 2.10 00 04 50 – Non-collusion Affidavit
 Yes No
- 2.11 Reserved

- 2.12 00 04 65 – Three-Year Contracting History
 Yes No
- 2.13 00 04 70 – False Claims
 Yes No
- 2.14 00 04 71 – Civil Litigation History
 Yes No
- 2.15 00 04 72 – Criminal Convictions
 Yes No
- 2.16 00 04 73 – Debarments
 Yes No
- 2.17 00 04 74 – Labor Law/Payroll Violations
 Yes No
- 2.18 00 04 85 – Contractor Employee Jury Service Program
 Yes No
- 2.19 00 04 90 – Contractor's Industrial Safety Record
 Yes No
- 2.20 00 04 91 – Injury and Illness Prevention Plan and Code of Safe Practices Affidavit
 Yes No
- 2.21 00 04 92 – Contractor's Organization Questionnaire/Affidavit
 Yes No
- 2.22 00 04 93 – Certification of Compliance with the County's Defaulted Property Tax Reduction Program
 Yes No
- 2.23 00 04 94 – Avoidance of Conflict of Interest
 Yes No
- 2.24 00 04 97 – Compliance with Fair Chance Employment Hiring Practices Certification
 Yes No

2.25 00 04 98 – Zero Tolerance Human Trafficking Policy Certification

Yes No

2.26 00 04 99 – Disallowed Cost Attestation

Yes No

2.27 Prime and Subconsultants on team affirms that they will register with the Department of Industrial Relations Department, if they are pre-qualified for Part B – IFB, and submits a Bid

Yes No

2.28 Prime affirms they reviewed Exhibit G (LA-RICS Authority Grant Funding Requirements) in particular Exhibits G.1, G.2, G.3, and G.4

Yes No

SECTION 3: ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS, AND SAFETY RECORDS

This Section includes additional questions regarding the business performance of the Contractor or the Joint Venture member-firm holding the general Contractor license under which the Work will be performed. Some questions are for **Information Only**, while other questions are scored.

The LA-RICS Authority reserves the right to ask for any additional information based on the responses provided, and will use this information, along with any other relevant information, to evaluate whether or not the Contractor is responsible to perform the proposed work.

Current Organization and Structure of the Contractor – Information Only

3.1 For Firms that are Corporations or Limited Liability Corporations:

3.1.a Date incorporated: _____

3.1.b Under the laws of what state: _____

3.1.c Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, and treasurer), or (b) the owner of at least ten percent of the corporation's stock.

Name	Position	Years with Co.	% Ownership

3.1.d Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent (10%) or more of the business, or ten percent (10%) or more of its stock, if the business is a corporation.

Person's Name	Construction Firm	Dates of Person's Participation with Firm

3.1.e If your firm has operated under any other business names and/or business licenses during the last five years, provide those names and licenses below.

Firm Name	License	Years in Operation

3.2 For Firms that are Partnerships:

3.2.a Date of formation: _____

3.2.b Under the laws of what state: _____

3.2.c Provide all the following information for each partner who owns ten percent (10%) or more of the firm.

Name	Position	Years with Co.	% Ownership

3.2.d Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent (10%) or more of the business, or ten percent (10%) or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

3.2.e If your firm has operated under any other business names and/or business licenses during the last five years, provide those names and licenses below.

Firm Name	License	Years in Operation

3.3 For Firms that are Sole Proprietorships:

3.3.a Date of commencement of business _____

3.3.b Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent (10%) or more of the business, or ten percent (10%) or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

3.3.c If your firm has operated under any other business names and/or business licenses during the last five years, provide those names and licenses below.

Firm Name	License	Years in Operation

3.4 For Firms that intend to Make a Bid as Part of a Joint Venture:

3.4.a Date of commencement of joint venture _____

3.4.b Provide all of the following information for each firm that is a member of the joint venture that expects to bid on the project:

Firm Name	% Ownership Of Joint Venture

History of the Business and Organizational Performance

3.5 Has there been any change in ownership of the firm at any time during the last three years? **Information only**

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No If "yes," explain on a separate signed page.

3.6 Is the firm a subsidiary, parent, holding company or affiliate of another construction firm? **Information only**

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No If "yes," explain on a separate signed page.

3.7 Are any corporate officers, partners or owners connected to any other construction firms? **Information only**

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No If "yes," explain on a separate signed page.

3.8 Does your firm have the qualified, experienced key personnel available to work on the proposed project during the indicated time period? **Information Only**

Yes No

3.9 How many years has your organization been in business in California as a Contractor under your present business name and license number?

Years in Business _____

3 years or less = 2 points

4 years = 3 points

5 years = 4 points

6 years or more = 5 points

3.10 Is your firm currently the debtor in a bankruptcy case?

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.

No = 3 points Yes = 0 points

- 3.11 Was your firm in bankruptcy at any time during the last five years?
(This question refers only to a bankruptcy action that was not described in answer to question 3.10, above)

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

No = 3 points Yes = 0 points

Licenses

- 3.12 If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the Contractor's State License Board (CSLB) records who meet(s) the experience and examination requirements for each license. **Information only**

- 3.13 Has any CSLB license held by the Contractor or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

Yes No

If "yes" please explain any mitigating circumstances on a separate signed sheet.

No = 5 points Yes = 0 points

- 3.14 Provide a listing of any violations of the Contractors' State License (Chapter 9 commencing with Section 7000 of Division 3 of the Business and Professions Code), including violations related to the payment of wages, benefits, apprenticeship requirements, or personal income tax withholding, or of Federal Insurance Contribution Act (FICA) withholding requirement settled against any member of the Contractor. **Information only**

- 3.15 Provide a listing of the names and positions of your firm's RME and/or RMO for the last five years. **Information only**

Disputes

- 3.16 At any time in the last five years, has your firm been assessed and required to pay liquidated damages of more than 30 days following completion of a project under a construction contract with either a public or private owner?

Yes No

If "yes," explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

No projects with liquidated damages of more than 30 days = 5 points
One project with liquidated damages of more than 30 days = 4 points
Two projects with liquidated damages of more than 30 days = 3 points
Any other answer: no points

- 3.17 In the last five years, has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or Public Works project for any reason?

NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 3.2.c or 3.2.d on this form.

Yes No

If "yes," explain on a separate signed page. State whether the firm involved was the firm applying for prequalification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

No = 5 points Yes = 0 points

- 3.18 In the last five years, has your firm been denied an award of a Public Works contract based on a finding by a public agency that your company was not a responsible bidder?

Yes No

If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

No = 5 points Yes = 0 points

The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another Contractor, or Subcontractor. Also, you may omit reference to all disputes with amounts of less than \$50,000.

- 3.19 In the past five years, has any claim against your firm concerning your firm's work on a construction project been filed in court or arbitration?

Yes No

If "yes," on a separate signed sheet of paper, identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Information only

- 3.20 In the past five years, has your firm made any claim against a project owner concerning work on a project or payment for a contract, and filed that claim in court or arbitration? **Information only**

Yes No

If "yes," on separate signed sheets of paper, identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

- 3.21 At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private?

Yes No

If "yes," explain on a separate signed page, the amount of each such claim (payment), contract value, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

NOTE: Any payment ranging from 0% to 5% will be counted as one claim; any payment in excess of 5% of the contract value will be counted as two claims for the scoring of this question. Any payment in excess of 10% of the contract value will be counted as three claims for the scoring of this question.

If your firm's average gross revenue for the last three years was less than \$50 million, scoring is as follows:

5 points for "No," indicating no such claim

4 points for "Yes," indicating 1 such claim

3 points for "Yes," indicating 2 such claims

Subtract 5 points for "Yes," if more than 3 or more such claims

If your firm's average gross revenue for the last three years was more than \$50 million, scoring is as follows:

5 points for either "No" or a "Yes," indicating 1 such claim

4 points for "Yes," indicating 2 such claims

3 points for "Yes," indicating up to 3 or 4 such claims

Subtract 5 points for "Yes," indicating 5 or more such claims

- 3.22 In the last five years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance, the year of the refusal and any justification provided (include copies of this justification). On a separate sheet, explain the reason for the denial and provide a copy of the denial letter. The County reserves the right to reinstate points based on the responses provided.

5 points for "No"

4 points for "Yes," indicating 1 such instance

3 points for "Yes," indicating 2 such instances

0 points for "Yes," if more than 2 such instances

Criminal Matters and Related Civil Suits

- 3.23 Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

No = 5 points Yes = subtract 5 points

3.24 Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

No = 5 points **Yes = subtract 5 points**

3.25 Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

No = 5 points **Yes = subtract 5 points**

Bonding

3.26 Have you attached a notarized letter from your surety identifying the name of the company, name of the agent, address and telephone number, bonding capacity, and bond premium rate for a performance and payment bond for the last three fiscal years? **Information only**

Yes No

Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

3.27 Has CAL OSHA cited and assessed penalties against your firm for any "serious" or "willful" violations, as determined by OSHA, of its safety or health regulations in the past five years? (CAL OSHA Act 1973).

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If "yes," attach a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, and the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

If the firm's average gross revenue for the last three years was less than \$50 million, scoring is as follows:

5 points for "No"

4 points for "Yes," indicating 1 such instance

3 points for "Yes," indicating 2 such instances

0 points for "Yes," if more than 2 such instances

If your firm's average gross revenue for the last three years was more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes," indicating 1 or 2 such instances

3 points for "Yes," indicating either 3 or 4 such instances

0 points for "Yes," if more than 4 such instances

- 3.28 Has the Federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years? (OSHA Act 1970)

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

If the firm's average gross revenue for the last three years was less than \$50 million, scoring is as follows:

5 points for "No"

4 points for "Yes," indicating 1 such instance

3 points for "Yes," indicating 2 such instances

0 points for "Yes," if more than 2 such instances

If your firm's average gross revenue for the last three years was more than \$50 million, scoring is as follows:

5 points for either "No" or "Yes," indicating 1 or 2 such instances

3 points for "Yes," indicating either 3 or 4 such instances

0 points for "Yes," if more than 4 such instances

- 3.29 Has the Environmental Protection Agency (EPA), any Air Quality Management District, any Regional Water Quality Control Board, or any other environmental regulatory agency cited and assessed penalties of more than \$25,000 against either your firm or the owner of a project as a consequence of your firm's work, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

No = 5 points Yes = 0 points

3.30 Do you have a written safety program and is a copy attached?

Yes No

Yes = 5 points No = 0 points

3.31 Within the last five years, has there ever been a period when your firm had employees but was without workers' compensation insurance or State-approved self-insurance?

Yes No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate signed page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in the construction business.)

5 points for "No"

3 points for "Yes," indicating 1 such instance of no more than 30 days in length

0 points for any instance greater than 30 days, or two or more instances of any length

3.32 (a) **Amended and Restated under Addendum "A":** Has your firm maintained an Experience Modification Rate (EMR) with an average of 1.20 or less for the last three premium years? **Contractors with an average EMR rate above 1.20 will be immediately disqualified.**

Yes No

Attach a copy of your worker's compensation insurance carrier's Experience Modification Rate (EMR) letter for the last three premium years.

Attach a separate sheet for the Contractor or each member (as appropriate) indicating the following information:

EMR year 1: _____
EMR year 2: _____
EMR year 3: _____
3 year EMR average: _____

3.32 (b) Does your firm's average total recordable injury or illness rate and average lost work rate for the most recent three year period exceed the applicable statistical standards for your firm's business category?

Yes No

3.32 (c) Is your firm a party to an alternative dispute resolution system as provided in Section 3201.5 of the Labor Code?

Yes No

Contractors answering "yes" to 3.32(b) and "no" to 3.32(c) will be immediately disqualified.

Prevailing Wage and Apprenticeship Compliance Record

3.33 Has there been more than one instance (one identified occurrence involving up to five employees) during the last five years in which your firm was required to pay penalties for your own firm's failure to comply with the State's prevailing wage laws?

NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes No

If "yes," attach a separate signed page or pages, describing how many instances occurred (each instance is defined as an occurrence involving a maximum of five employees; i.e. one time with seven employees would be counted as two instances) that resulted in penalties.

If your firm's average gross revenue for the last three years was less than \$50 million, scoring is as follows:

5 points for "No"

4 points for "Yes," indicating 1 such instance

3 points for "Yes," indicating 2 such instances

0 points for "Yes," indicating 3 or more such instances

If your firm's average gross revenue for the last three years was more than

\$50 million, scoring is as follows:

- 5 points for either "No" or "Yes," indicating 1 such instance**
- 4 points for "Yes," indicating 2 such instances**
- 3 points for "Yes," indicating either 3 or 4 such instances**
- 0 points for "Yes," indicating 5 or more such instances**

3.34 During the last five years, has there been more than one instance (one identified occurrence involving up to five employees) in which your own firm has been penalized or required to pay penalties for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

Yes No

If "yes," attach a separate signed page or pages describing how many instances occurred (each instance is defined as an occurrence involving a maximum of five employees; i.e. one time with seven employees would be counted as two instances) that resulted in penalties.

If your firm's average gross revenue for the last three years was less than \$50 million, scoring is as follows:

- 5 points for "No"**
- 4 points for "Yes," indicating 1 such instance**
- 3 points for "Yes," indicating 2 such instances**
- 0 points for "Yes," indicating 3 or more such instances**

If your firm's average gross revenue for the last three years was more than \$50 million, scoring is as follows:

- 5 points for either "No" or "Yes," indicating 1 such instance**
- 4 points for "Yes," indicating 2 such instances**
- 3 points for "Yes," indicating either 3 or 4 such instances**
- 0 points for "Yes," indicating 5 or more such instances**

3.35 Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any LA-RICS Authority project for which you are awarded a contract by the LA-RICS Authority Joint Powers Authority Board of Directors.

5 points if at least one approved apprenticeship program is listed
0 points for any other answer

SECTION 4: RECENT CONSTRUCTION PROJECTS COMPLETED

4.1 Amended and Restated under Addendum "A": Experience Requirement

In this section the LA-RICS Authority is asking questions relevant to the Contractor's qualifications most closely related to the proposed project, in particular as it relates to Section 1.7 (Qualifications of Bidders) of the RFSQ as follows:

In order for a Bidder to qualify, Bidder must be able to check "YES" for each of the following three (3) experience requirement categories.

- Within the last five (5) years, Bidder must have experience constructing a minimum of ten (10) wireless telecommunication projects (WTP as defined in Paragraph 1.7.3) which meet the site types and site components requirements listed below. If Bidder does not meet this requirement, the Bidder will be disqualified.
- a. **SITE TYPES:** Construction of at least one (1) of the following wireless telecommunication facility site types. Each project can be of the same site type **OR** a combination of site types.
 - New lattice or monopole towers
 - Rooftops
 - Lattice tower or monopole collocation
- b. **SITE COMPONENTS:** Wireless telecommunication project listed shall include at least one of the site components below. The Bidder shall have experience with ALL of the site components listed. The site components installation experience can be achieved in a single wireless telecommunication project **OR** across Bidder's list of wireless telecommunication projects, so long as Bidder has experience with **ALL three** of the site components listed. If any one of the site components is missing from the Bidder's experience, the Bidder shall be disqualified.
 - Cellular-type antennas and antenna cabling
 - Remote radio units (RRUs)
 - DC power and fiber-optic cabling

The experience scoring criteria is described in Section 4.2 below.

The LA-RICS Authority reserves the right to ask for any additional information based on the responses provided, and will use this information, along with any other relevant information, to evaluate whether or not the Contractor is qualified to perform the proposed work.

4.2 Bidder

Use the "Construction Projects Completed" form to provide details demonstrating experience regarding the WTP (public or private), which the Bidder performed within the last five (5) years that are substantially similar in size, cost, and scope to the proposed projects meeting the experience criteria indicated above.

To demonstrate this experience, Bidder shall list the wireless communication projects that it completed within the last five (5) years on the "Construction Projects Completed" form and provide the following requested information:

- a. Type of installation (e.g. lattice tower, monopole tower, rooftop, monopole collocation, etc.).
- b. Name of wireless carrier or tower company and contact information.
- c. Construction start date and acceptance date.
- d. Value of the work performed.
- e. Confirmation the project was completed on time and within budget.

4.3 Experience Scoring Criteria

A total of 34 points are allocated to Bidders WTP experience as follows:

- **Eight (8) points** – completed ten (10) wireless telecommunication projects (WTP) with the last five (5) years.
- **Twelve (12) points** – completed eleven (11) to twenty (20) wireless telecommunication projects (WTP) within the last five (5) years.
- **Eighteen (18) points** – completed twenty-one (21) to forty (40) wireless telecommunication projects (WTP) within the last five (5) years.
- **Twenty-three (23) points** – completed forty-one (41) to sixty (60) wireless telecommunication projects (WTP) within the last five (5) years.
- **Twenty-eight (28) points** – completed more than sixty (60) wireless telecommunication projects (WTP) within the last five (5) years.
- **Six (6) Bonus Points** – completed fifteen (15) wireless telecommunication projects (WTP) within the last twelve (12) months.

CONSTRUCTION PROJECTS COMPLETED

(Use one (1) form for EACH Wireless Telecommunication Project to demonstrate Experience)

Wireless Telecommunication Projects (Refer to Section 4)

PROJECT NO: _____

Name of Project	Construction Cost	Construction Start Date	Construction Completion Date (shall be from May 2014 or later)	Within Budget? Y or N

***Bidder to provide at least ten (10) projects completed within the last 5 years**

Bidders MUST identify the SITE TYPE and SITE COMPONENTS. Bidders who fail to identify the SITE TYPE and SITE COMPONENTS for the project in accordance with RFP Paragraph 1.7.3 will result in the "wireless telecommunication project" being disqualified from Bidder's experience consideration.

SITE TYPE: (Bidder must check at least one site type)

- New lattice or Monopole Tower
- Rooftop
- Lattice Tower or Monopole Collocations

SITE COMPONENTS: (Bidder must check all that apply)

- Cellular-type antennas and antenna cabling
- Remote Radio Units (RRUs)
- DC power and fiber-optic cabling

Name of Wireless Carrier or Tower Company: _____

Contact Information: _____
(name, phone number, email address)

Scope Description:

PRINT ADDITIONAL PAGES AS NECESSARY. MAINTAIN THE REQUESTED FORMAT AND PROVIDE THE REQUESTED INFORMATION.

SECTION 5: COMMITMENT TO BID

If prequalified, I certify that I will provide a full and complete Part B – Bid for the **LA-RICS Authority Telecommunication Facility Construction and Installation** project.

Note: This statement is a Pass/Fail statement. Any bidders that will not verify a commitment to provide a full and complete Part B – Bid will be immediately disqualified.

Authorized Contractor Representative

Dated: _____

(Signature)

(Company and Position of Signatory)

SECTION 6: OATH OF TRUTHFULNESS

I, the undersigned, certify and declare that I have read all the foregoing answers to this questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated _____

(Contractor's Name)

(Company and Position of Signatory)